



# Perspectives of Toxicity Associated with Nanocarrier Systems

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## Mini Review

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## Abstract

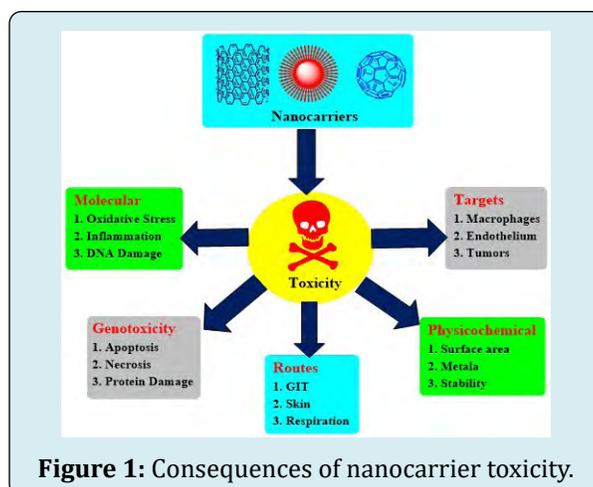
The utility and diversified applications of various nanocarrier systems have led to the development of a wide variety of formulations with smart properties. Although these formulations offer several advantages over traditional delivery systems such as site-specific, time-dependent and controlled delivery of the medicaments but unfortunately the toxicological behavior of these has remained unexplored. There are several reports in the literature that have described the significant toxicity in major organs of animals. This toxicity has majorly associated with the formation of reactive oxygen species (ROS), elevation/reduction in biomarker levels, induction of apoptosis and several other molecular changes. In this short compilation, we have summarized some toxicity reports which have been based on pre-clinical evidences and attributed to multiple organs of animals. These include the kidney, heart, lungs, liver and GIT prominently. Also, we have made an attempt to highlight the mechanism of the reported toxicity along with the toxic dose. This compilation may be helpful to drug developers and researchers to understand these issues and to design newer strategies during formulation to bypass these complications.

**Keywords:** Nanocarrier; Toxicity; ROS; Biomarker; Carbon Nanotubes; Liposomes

## Introduction

In the past decade, nanocarrier systems have been extensively explored for their divine drug delivery potentials and had been widely utilized in the development of targeted drug delivery systems, research and technology. Nanocarrier based drug delivery systems have been proven as blockbusters for site-specific delivery in the therapy of life-threatening ailments. In other words, we can coin these systems as smart nanocarriers because of their smart functionality and application in the development of smart drug delivery systems (SDDs). These delivery systems have bypassed the disadvantages of non-specific distribution and uncontrollable drug delivery patterns of traditional delivery systems. Smart nanocarrier systems include micelles, liposomes, dendrimers, carbon nanotubes (CNTs), multi-walled carbon nanotubes (MWCNTs), single-walled carbon nanotubes (SWCNTs) nanorods, nanoemulsions,

phytosomes, magnetic nanoparticles, nanospheres, quantum dots and mesoporous silica nanoparticles (MSNs). Many nanocarrier-based formulations are already available in the market and some are in clinical trial phases. Despite several extraordinary advantages of these smart nanocarriers, there is a continuously emerging issue of toxicity of these systems. As a matter of great concern, continuous research is in progress specially focussing on toxicity and biocompatibility of nanocarriers. In general, nanoparticles are able to induce toxicity based upon their internalization site and composition. It is also revealed that nanoparticles can cause inflammation, oxidative stress and DNA damage [1]. Table 1 highlights some significant reports describing the potent toxicities exhibited by nanocarrier systems on different organs/parts of the body. Major consequences involved in nanocarrier toxicity in terms of targets, molecular involvement, genotoxicity, routes and physicochemical factors have been outlined in Figure 1.



Carrier	Organ	Effects	Animal used /cell line	Mechanism of toxicity	Toxic Dose	Reference
CNTs	Lungs	Alteration in mitochondrial membrane potential	Rat alveolar macrophage cell line (NR8383)	Metal catalysed induction of reactive oxygen species (ROS)	50 µg/mL	[2]
MWCNTs	Lungs	DNA damage	Female mice	Pulmonary inflammation induced by neutrophil influx in broncho-alveolar lavage (BAL)) and genotoxicity leading to DNA damage	6-54 µg/mL	[3]
SWCNTs	Lungs	Death due to blockage of airway	Male rats	Alveolar macrophage accumulation and lung tissue thickening	5mg/Kg	[4]
MWCNTs	Lungs	Pulmonary lesion and collagen rich granuloma in the mice exposed	Guinea pigs (males)	Perivascular, peribronchial and interstitial permeation of inflammatory cells associated with central and peripheral atelectasis, emphysema and alveolar exudation	1-5mg/Kg	[5]
SWCNTs	Heart	Progression of atherosclerosis	Mice	Aortic DNA damage	10-40µg	[6]
CNTs	Foetus	The fetal and developmental abnormalities	Male and female mice	Increased resorptions during organogenesis, induction of oxidative stress due to ROS	10mg/Kg	[7]
IONPs (Iron oxide nanoparticles)	Liver	Liver inflammation and necrosis	Adult male Wistar rats	Enhancement of free radicals and reduction of GSH in lung tissues	>2.2mg/Kg	[8]
Curcumin capped IONPs	Liver and kidney	Abnormal liver and kidney performance	Mice	Changes in the levels of biomarkers of liver and kidney	>5mg/Kg	[9]

Dendrimer coated IONPs	Liver	Edema and losing cytoplasm in the liver cells	Mice	Increase in blood urea nitrogen, bilirubin and histopathological abnormalities	10mg/Kg	[10]
Platinum nanoparticles	Heart	Decrease in the heart rate, prolonged P-R intervals and finally complete A-V conduction block	Mice	Decrease in current densities of ion channels, conduction block and increased lactate dehydrogenase leak	3-10mg/kg	[11]
CuO NPs (Copper oxide nanoparticles)	Liver and spleen.	Liver and kidney dysfunction	Female mice	Increased production of ROS leading to lymphocyte apoptosis	100-1000µg/Kg	[12]
CuO NPs	G.I.T.	G.I.T. Toxicity, an imbalance in antioxidant levels.	Artemia salina	Generation of oxidative stress and disturbances in antioxidant defence pathway	12.2mg/L	[13]
TiO <sub>2</sub> NPs	Heart and liver	Heart injury and liver injury	Rat	Elevated reduced glutathione (GSH)/oxidized glutathione ratios due to increased plasma levels of glucose and GSH	50-200mg/Kg	[14]
TiO <sub>2</sub> NPs	Liver	Liver injury markers and a reduction in certain hematological parameters.	Female mice	Elevated levels of alanine aminotransferase, alkaline phosphatase, aspartate aminotransferase, lactate dehydrogenase and cholinesterase, total protein and the reduction total bilirubin, triglycerides, and the total cholesterol levels	125-250mg/Kg	[15]
TiO <sub>2</sub> NPs	Foetus	Fetal toxicity in pregnant mice	Mice	Elevated dopamine levels in the prefrontal cortex and neostriatum, abnormal fetal liver development	0.25–1.00 mg/mL	[16]
Mesoporous Silica NPs	Kidney	Hemorrhage, vascular congestion, and renal tubular necrosis	Male mice	Renal tubular necrosis, vascular congestion in renal interstitium	40mg/Kg	[17]
ZnO NPs	Fetus	Toxicity during gestation period	Rats	Multifocal mixed cell permeation, thrombosis in lung, tubular dilation in kidneys	10-20mg/Kg	[18]
Liposomes	Liver, Lung, Breast	Cytotoxicity	L 1210, HepG2, A549 cell lines	DNA damage due to the cationic surface charge	0.25 µM P/ml	[19]
Micells	Lung, Liver, Kidney	Polymeric micelle-based drug carriers trigger transient immunogenicity	Female Mice	Increased ROS production, Increase in cell volume	Dose dependent	[20]
Dendrimers	Lung, Liver, Kidney	Dendrimers, such as PPI, PAMAM, and PLL, exert significant in vitro cytotoxicity due to their surface catatonic groups	Mammalian Cells	High charge and strong interaction with the negatively charged cell membranes leading to destabilization and leakage and lysis of cytoplasmic proteins	Dose dependent	[21]

**Table 1:** Reported toxicity of nanocarrier systems on various organs.

## Possible Mechanisms of Toxicity

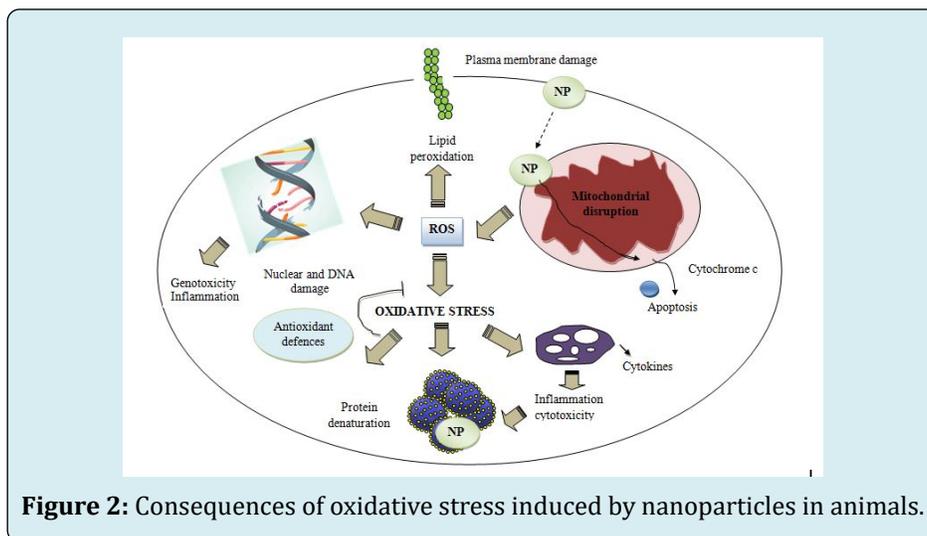
The various pre-clinical studies of nanocarrier systems have been carried out by several research groups including the toxicity along with its underlying mechanisms. A few significant mechanisms of toxicity revealed by various nanoparticles (NPs) have been described in the following sections.

### Generation of Reactive Oxygen Species (ROS)

The physiological activity of nanocarriers leads to the generation of reactive oxygen species which include hydroxyl radicals, superoxide radicals as a result of activation of oxidative enzymes which ultimately is the prominent cause of oxidative stress (Figure 2) [22-24]. It is worth notable that the extent of this kind of stress has been reported majorly in nanocarriers systems possessing metals or impurities of transition metals [25,26]. Deposition of nanoparticles in multiple organs leads to ROS generation and initiation of inflammation. This mechanism is not fully understood but it has been evidenced that oxidative stress affects intracellular calcium contents, transcription variables and induction of cytokines [27]. Elevated ROS adversely affect mitochondrial

respiratory mechanisms and induces changes in protein structures in the endoplasmic reticulum and induce stress. These events lead to more production of ROS, severe DNA damage, induction of signals, more inflammatory events, cell death due to apoptosis and necrosis [28,29].

The redox process may occur in the solution as well as on the nanoparticle surface leading to changes in the crystalline structure. Some nanocarrier preparations such as fullerenes, carbon dots, SWNTs and quantum dots produce ROS upon exposure to ultraviolet radiations or transition metals [30]. Exposure of a mother to titanium dioxide can cause changes in apoptotic genes and oxidative stress in the newborn offspring [31]. Nanoparticles possess a large surface area which can produce prominent ROS and leads to cytotoxicity. The CNS is highly sensitive towards oxidative stress due to abundant lipids, proteins, high oxygen consumption and weak antioxidant properties [32]. Therefore ROS causes maximum damage in CNS leading to neurodegenerative disorders and diseases. It has also been reported that nanoparticles are also capable of damaging dopaminergic neurons as a result of high production of ROS due to microglial stimulation [33].



**Figure 2:** Consequences of oxidative stress induced by nanoparticles in animals.

### Cellular uptake Mechanisms

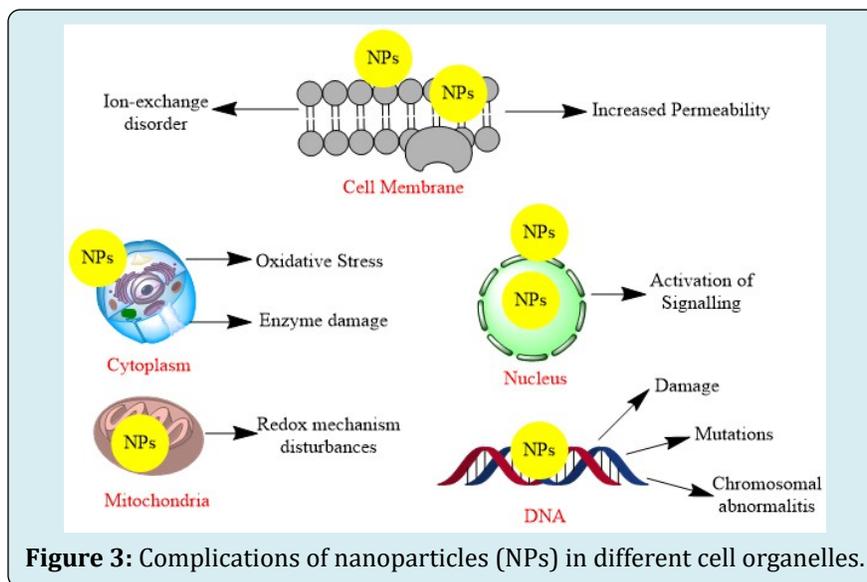
The structural organization, chemistry and size of nanoparticles greatly influence the cellular entry, uptake and distribution of these systems. The cell membranes give entry to nanoparticles by the endocytosis process which is influenced by the nature, size and shape of the nanoparticles [34]. The size directly affects various cellular processes like target identification, circulatory residence time, concentration, uptake pathway and clearance. Smaller particles enter and exit with great ease; spherical particles get internalized inside the cells and negatively charged particles

exhibit a low rate of endocytosis as compared to positively charged ones. Pinocytosis is the type of endocytosis that is meant for the intake of fluid or smaller solute particles whereas phagocytosis intakes the heavy and solid materials. Phagocytosis takes place through macrophages, neutrophils, monocytes and dendritic cells. Opposing to this, pinocytosis involves van der Waals, electrostatic, steric interactions and the formation of vesicles leading to free movement of nanoparticles between cells and multiple organelles [35,36].

Followed by pinocytosis, the nanoparticles got located in various compartments of cells such as cell membrane,

cytoplasm, mitochondria, lipid vesicles, nuclear membrane, nucleus and exert significant toxicity by causing organelle/DNA damage leading to cell death [37-39]. Complications produced by nanoparticles upon localizing in particular organelles have been depicted in Figure 3. The shape of nanoparticles also affects the cellular uptake and has been

reported highest in the case of nanorods in human cervical cancer cells followed by nanospheres, cylindrical and cubical shapes [40,41]. Lysosomes are also a significant target for nanoparticle localization and toxicity due to endocytosis. NPs exert their toxicity in lysosomes due to cytoskeleton destruction, alkalization or overload [42].



### Genotoxicity and Inflammation

The activation of microglia by nanoparticles leads to the initiation of inflammatory responses by secreting pro-inflammatory factors and ultimately causes cell dysfunction, death and cytotoxicity [43]. Nps have been also regarded as autophagy inducers with the potentials of inducing ROS-dependent and lysosome-dependent autophagy. Titanium, silicon, polymeric, oleic-acid coated nanoparticles are responsible for brain autophagy whereas zinc oxide NPs cause oxidative stress in macrophages leading to autophagy and apoptosis [44]. Nanoparticles of varying sizes accumulate in mitochondria and lead to abnormal electron transport chain mechanisms [45]. This oxidative stress ultimately leads to genotoxicity due to DNA modifications and cell injuries [46]. Epigenetic effects are also prominent in chromatin due to acetylation/methylation of histones, mutagenic DNA damage and abolition of DNA repair pathways which is the prominent cause of Ni-nanoparticles induced carcinomas [47-50].

### Conclusion

It is evident from the above reports that along with therapeutic efficacy the nanocarrier systems exhibit a significant amount of toxicity. This toxicity has been attributed to several factors like ROS generation, inflammation, endocytosis, nanoparticle size, shape and localization, etc. This is a matter of immense concern and researchers/drug

developers should work in this direction so as to reduce the induced toxicity. Although there are a few approaches that have been successfully utilized for reducing the toxicity such as modification in size, shape, shell, surface charge and route of administration; still a keen work towards this direction is the demand of the hour.

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