



# False Positive Conventional Cytogenetic Findings that Mimic the Recurrent Chromosome Abnormalities Associated with Hematolymphoid Disorders

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## Abstract

Recurrent chromosome abnormalities as defined in the World Health Organization (WHO) play a major role in diagnosis, prognosis, and treatment. Herein, we describe two cases of hematologic malignancies with chromosomal abnormalities mimicking WHO-defined recurrent abnormalities. Both cases demonstrate clinical utility of interphase and metaphase fluorescence in-situ hybridization (FISH) in confirming the WHO defined recurrent cytogenetic abnormalities.

The first is a case of chronic myeloid leukemia (CML) with both  $t(9;22)(q34;q11.2)$  and  $inv(3)(q21q26.2)$  by conventional cytogenetics but no  $EVI1::MECOM$  rearrangement by FISH. The second is a case of chronic lymphocytic leukemia/small lymphocytic lymphoma (CLL/SLL) with deletion of 11q (ATM) and a subclone with deletion of 6q (MYB) and  $t(9;22)(q34;q11.2)$  detected by conventional cytogenetics but no  $BCR::ABL1$  fusion by FISH. These cases demonstrate that conventional cytogenetics and FISH studies are complementary and especially so when recurrent abnormalities are suggested by karyotype.

**Keywords:** False-Positive; Complex Cytogenetics; Conventional Karyotype; FISH

**Abbreviations:** WHO: World Health Organization; FISH: Fluorescence In-Situ Hybridization; CML: Chronic Myeloid Leukemia; CLL/SLL: Chronic Lymphocytic Leukemia/Small Lymphocytic Lymphoma; Ph: Philadelphia; AML: Acute Myeloid Leukemia; ACML: Adult-Type CML; JMML: Juvenile Myelomonocytic Leukemia;  $EVI1$ : Ecotropic Virus Integration site-1; MDS: Myelodysplastic Syndrome; APL: Acute Promyelocytic Leukemia; TKI: Tyrosine Kinase Inhibitor; RT-PCR: Reverse Transcriptase Polymerase Chain Reaction; i-FISH: Interphase FISH; m-FISH: Metaphase FISH; IGL: Lambda Light Chain.

## Introduction

Chronic myeloid leukemia (CML) is a pluripotent hematopoietic stem cell disorder defined by expression of

the  $BCR::ABL1$  fusion gene, a constitutively activated tyrosine kinase, harbored by the Philadelphia (Ph) chromosome, which is a result of a  $t(9;22)(q34;q11)$  or a related variant translocation.

The Ph chromosome is the name given to the derivative chromosome 22 featuring  $t(9;22)(q34;q11)$ . This small derivative chromosome is a product of reciprocal translocation between the  $ABL1$  and  $BCR$  genes on chromosomes 9 and 22, respectively, resulting in formation of the well-known fusion gene,  $BCR::ABL1$ . The protein product of this translocation promotes leukemogenesis via unchecked cell proliferation, inhibition of cell differentiation, and resistance to cell death. Although a hallmark feature of CML, the  $BCR::ABL1$  translocation is also seen in cases of acute lymphoblastic leukemia, de novo

acute myeloid leukemia (AML), and mixed-phenotype acute leukemia [1,2].

Cells with the Ph chromosome tend to show genetic instability and are prone to develop multiple and heterogenous genomic abnormalities. This sets the foundation for transformation of the leukemic phenotype from chronic phase to accelerated phase and ultimately blast phase [2].

CML in childhood presents as one of the two clinically distinct syndromes, adult-type CML (ACML) which is Ph(1) positive, and juvenile CML, also known as Juvenile Myelomonocytic Leukemia (JMML), which is Ph(1) negative [3].

In the context of CML, Ecotropic Virus Integration site-1 (*EV11*) rearrangement, resulting from either *inv(3)(q21q26)* or *t(3;3)(q21q26)*, is associated with a more aggressive disease course [1,2]. *EV11* (MECOM) is a transcription factor with stem cell specific expression patterns which mediates growth of hematopoietic stem cells and plays a role in AML, myelodysplastic syndrome (MDS), and CML [1]. *Inv(3)* and *t(3;3)* involving q21q23 breakpoints results in *EV11* expression being controlled by the enhancer for the *GATA2* gene at 3q21.<sup>7</sup> Furthermore, *EV11* overexpression is implicated in aggressive clinical course in several epithelial malignancies and the targets of its regulatory activity in these cancers are the subject of ongoing research.

## Case Presentation

### Case 1

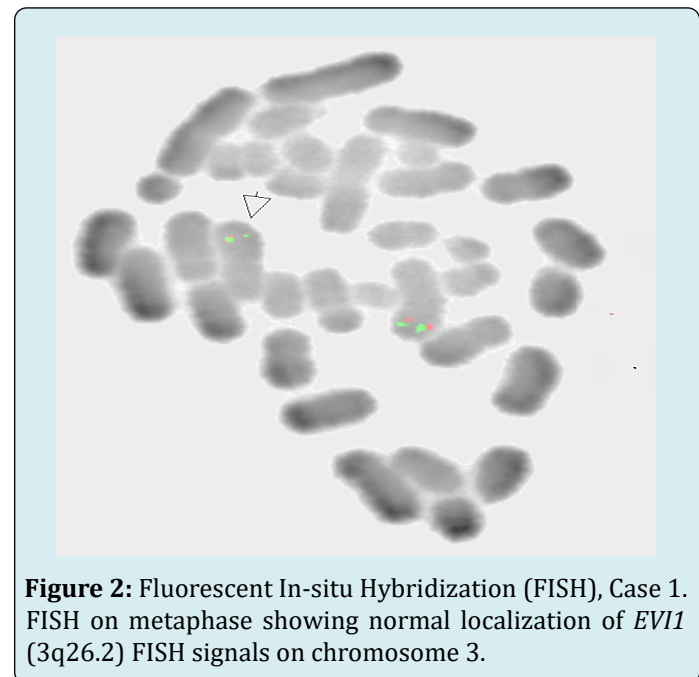
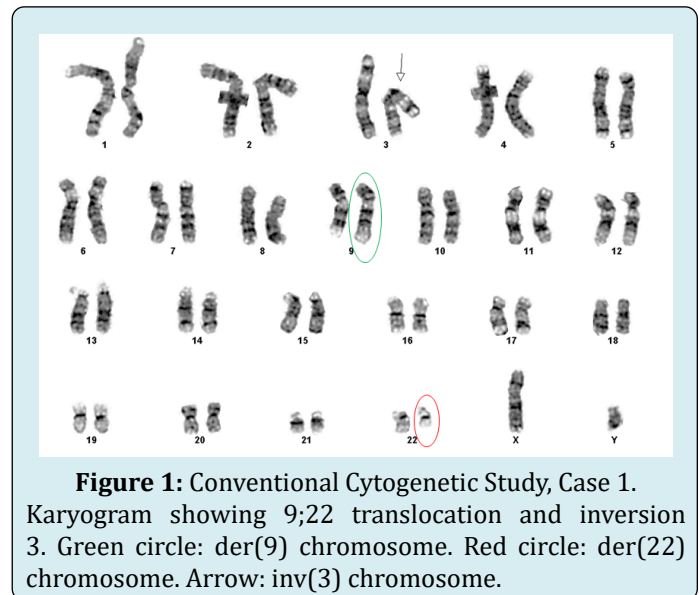
A 31-year-old male presented from a community hospital with severe leukocytosis (WBC 356.4 K/uL), thrombocytopenia (PLT 38 K/uL), and symptoms of leukostasis highly suspicious for leukemia. Stat FISH on peripheral blood was negative for *t(15;17)/PML::RARA*, excluding acute promyelocytic leukemia (APL). Bone marrow examination revealed a hypercellular marrow (100%) with markedly increased granulopoiesis and 4% blast; concurrent flow cytometry reported less than 1% myeloid blasts. FISH identified *t(9;22)/BCR::ABL1* with der(22) Ph chromosome, confirming the diagnosis of CML.

Conventional cytogenetic studies on bone marrow yielded:

46,XY,*inv(3)(q21q26.2),t(9;22)(q34;q11.2)*[20] (Figure 1)

Interphase FISH with a dual-color dual-fusion probe set identified *t(9;22)* in 96.5% of cells with positive fusion

signal on der(22) on metaphase analysis, but no signal on der(9) due to submicroscopic deletion. A break-apart probe for *EV11* rearrangement was negative on both interphase and metaphase analysis. (Figure 2).



Karyotyping identified two concurrent chromosomal abnormalities associated with the patient's phenotypic CML. *t(9;22)/BCR::ABL1* was confirmed by FISH while *EV11* rearrangement was not identified. The significance of an *inv(3)* which does not rearrange *EV11* is unknown. FISH results did not change the diagnosis but yielded the correct prognosis for the patient's disease.

Risk profiles for therapy in CML are based on, depending on scoring system, measures of blood counts, spleen size, and age. *EVI1* rearrangement predicts a worse outcome in CML but does not directly factor into risk assessment and therapy decisions [2]. Which generation of tyrosine kinase inhibitor (TKI) to initiate treatment with is informed by risk profiling. Inadequate response to TKI, disease progression under appropriate TKI, or advancement to accelerated or blast phase disease may all be indicators for consideration of hematopoietic cell transplant [4].

Worsening thrombocytopenia and *inv*(3) raised concern for increased risk of progression to accelerated phase and prompted transplant planning. The patient received a myeloablative chemotherapy regimen prior to a matched sibling donor peripheral stem cell transplant. Five-year post-transplant testing showed no *BCR::ABL1* p210 transcripts by reverse transcriptase polymerase chain reaction (RT-PCR). Engraftment analysis showed 100% donor cells.

## Case 2

A 76-year-old female with melanoma was incidentally diagnosed with small lymphocytic lymphoma (SLL) on axillary sentinel lymph node biopsy while undergoing treatment for her melanoma. Peripheral blood flow cytometry identified the presence of clonal CD5 (+) B cells, with an immunophenotypic profile compatible with chronic lymphocytic leukemia/small lymphocytic lymphoma (CLL/SLL). The patient was asymptomatic for several years but had increasing lymphocytosis.

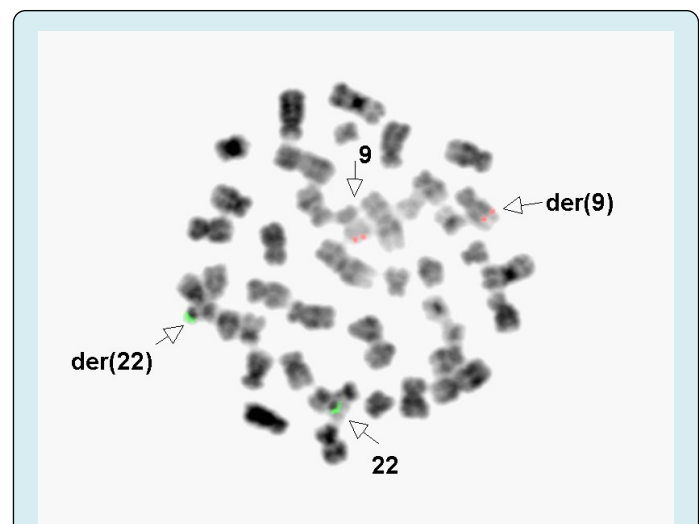
Clinical progression was evident at the 3.5-year mark, with progressively worsening anemia and new lymphadenopathy in cervical, axillary, and inguinal nodes. Staging bone marrow evaluation revealed CLL in a hypercellular marrow (70%), with decreased trilineage hematopoiesis and 96% lymphocytes.

Cytogenetic studies on the bone marrow with DSP30/IL2 mitogen stimulated culture cells revealed: 46,XX,add(11)(q21)[cp14]/46,s1,del(6)(q21),t(9;22)(q34;q11.2)[cp4]/46,XX[cp2] (Figure 3).

FISH assays for deletions of 6q, 11q, 13q, and 17p, and +12, common abnormalities in CLL, were performed on direct cultured bone marrow cells. Abnormal signals were observed for deletions of 6q and 11q, each at 2%. Conventional cytogenetic studies showing t(9;22) prompted FISH assays for t(9;22)/*BCR::ABL1*. Dual-color dual-fusion probe sets were performed on both interphase (Figure 4) and metaphase cells and were negative.



**Figure 3:** Conventional Cytogenetic Study, Case 2. Karyogram showing 9;22 translocation (indicated by grey arrows) along with deletion of 6q (indicated by black arrow) and additional unknown genetic material on 11q arm (indicated by black arrow).



**Figure 4:** Fluorescence In-situ Hybridization (FISH), Case 2. FISH on metaphase showing normal localization of *ABL1* (9q34) red signals and *BCR* (22q11.2) green signals on normal and abnormal chromosomes 9 and 22.

These FISH results were different from studies obtained 7 and 25 months previously, which were negative for deletions of 6q, 11q, 13q, and 17p, +12, and t(11;14). A previous conventional karyotype was not available for comparison.

The patient's bone marrow specimen showed deletion of 11q and a subclone with deletion of 6q and t(9;22)

(q23;q11.2). FISH detected both 11q and 6q deletion, but did not identify t(9;22) involving *ABL1* and *BCR* genes. This complex karyotype, defined as having 3 or more structural or numeral aberrations, is associated with a poor outcome. By itself del (11q) is an independent negative prognostic abnormality in CLL/SLL [2].

NCCN guidelines call for testing for del(17p), TP53 mutation, karyotype, and immunoglobulin heavy chain variable region mutation status prior to initiating treatment. Karyotype and IGHV are prognostic but don't change the treatment algorithm while *TP53* or del(17p) do as they predict reduced response to chemotherapy [5].

The degree of bone marrow involvement and presence of bulky adenopathy prompted treatment, initially consisting of Obinutuzumab, ibrutinib, and venetoclax (added after cycle 3) per clinical trial parameters. Response was excellent, with marked improvement of adenopathy prior to cycle 3. Obinutuzumab was discontinued due to neutropenia. Peripheral blood flow cytometry was negative for lymphoma at that time. Bone marrow aspirate and peripheral blood flow cytometry were negative for lymphoma one year later. Biannual peripheral blood flow cytometry has remained negative for evidence of lymphoma to date (2022).

## Discussion

Published literature features plentiful information on cytogenetic and FISH concordance and utility in hematological malignancies with concordance rates typically greater than 95%. A search for discordant results in hematology malignancies yields primarily studies involving AML and MDS diagnosis and monitoring, with few results showing discordances similar to the presented cases.

He et al and Wheeler et al calculated concordance rates between conventional cytogenetics and FISH in studies of adults with AML in published studies of 220 and 204 patients, respectively. He, et al. [6] found 97.7% concordance. Their low number of discordant cases included those with cryptic translocations, with single abnormal metaphase on karyotype, and those with low-level FISH abnormalities. Wheeler et al reported a concordance rate of 95%. Discordance in their study was due to low-level FISH abnormalities and polyploid clones not detected on karyotype. Of their 10 discordant cases, one had clinical relevance with a t (15;17) due to cryptic *RARA* insertion. Both studies stated that adequate karyotype is sufficient in most cases of adult AML and FISH is usually of little added value but recognized that FISH has high sensitivity in disease monitoring. He et al specifically advocated confirmatory FISH for karyotypes consistent with WHO-defined recurrent abnormalities [6,7].

Cherry, et al. [8] compared cytogenetics and FISH in patients with MDS and concluded the two had similar sensitivity for this population. Of 48 samples, karyotype and FISH identified abnormalities in 18 and 17 cases, respectively. An occult -11 was identified by FISH in one case. FISH may detect abnormalities occurring at a small percentage as well as in cells with low mitotic activity that may not culture well or at all, while karyotyping can identify abnormalities for which FISH probes aren't available [8].

Cantú, et al. examined 32 cases for possible causes of discordant karyotype and FISH results. Excluding laboratory error, their discordances were categorized as normal karyotype with abnormal interphase FISH (i-FISH), abnormal karyotype with normal i-FISH, and abnormal karyotype with abnormal i-FISH but differing results. Discordant results were attributed as often being due to the intrinsic nature of malignant cells. This fact accounted for 34.4% of their observed discordant results. Culture condition and choice of mitogens and mitosis arresting agents may alter yields of cells of interest. They further elaborated that mitotically active and inactive populations are effectively separate populations with possibly different abnormalities, such that metaphase FISH (m-FISH) is needed to resolve discordance between karyotype and i-FISH. Biclinality was also a source of discordance wherein m-FISH established presence of a sub-clone when karyotype and i-FISH were both abnormal but different [9].

Wlodarska, et al. [10] reported a case of follicular lymphoma demonstrating a karyotype of 46,XY,t(8;14)(q24;q32),t(9;22)(q34;q11),t(14;18)(q32;q21). FISH for t (9;22) showed rearrangement but no fusion on der(22). RT-PCR and Southern blot were negative for *BCR* rearrangement. Additional studies identified breaks on chromosomes 9, telomeric to *NOTCH 1* (and therefore *ABL1*), and 22 between lambda light chain (*IgL*) constant and variable region (centromeric to *BCR*), yielding a fusion signal on der (9) but not on der (22). The unexpected FISH result, with no fusion on der (22), led to further investigation, which showed that although *BCR* was relocated, it was a bystander to rearrangement of *IgL*. They commented that FISH as sole assay for *BCR::ABL1* may be a diagnostic pitfall, especially when encountered in an entity not associated with the Ph chromosome (follicular lymphoma) [10].

Our two cases exhibited false positive karyotype findings. In the first, FISH analysis both on interphase and metaphase cells confirmed presence of Ph chromosome and absence of *EVII* rearrangement, which were consistent with the patient's phenotypic CML. In the second, biclinality was present, with 25% of analyzed cells representing a sideline clone with t(9;22), however FISH did not demonstrate *BCR::ABL1* rearrangement. Detection of Ph chromosome in

this instance would be very unusual [11,12].

These two cases were similar to the report by Wlodarska, et al. [10] in that chromosomal breakage and rearrangement, as evidenced by karyotype, corresponded to regions not associated with WHO-define recurrent abnormalities, and therefore not resolved by FISH probes specific to those abnormalities. Comparison of metaphase and interphase FISH did not change our results. Unknown breakpoints centromeric to *EV11* probe set and telomeric to probe regions for *BCR::ABL1* are possible explanations of the results in case 1 and case 2, respectively; further characterization was not necessary. CGH would not detect the translocations and inversions present but might capture inapparent deletion or duplication, if present.

Neither of these false positive karyotype abnormalities existed as primary alterations. In case 1, *inv(3)(q21q26.2)* existed concurrently with *t(9;22)*, and in case 2, the *t(9;22)* existed as a secondary abnormality along with deletions of 11q and 6q. The former case presents an obvious one to interrogate by FISH, as *EV11* rearrangement would confer a worse prognosis, while the latter presents more as a curiosity as *t(9;22)* yielding *BCR::ABL1* in CLL/SLL would be highly unusual. The paucity of published reports of these type of discordances shows them to be uncommon and therefore an algorithm for addressing them is likely to see limited use. However, based on Cantú et al, metaphase (m) FISH may be a useful study when karyotype and interphase (i) FISH are discordant. As presented, i-FISH and m-FISH were useful studies to show that the conventional cytogenetic abnormality did not represent a WHO-defined recurrent abnormality.

## Conclusion

Abnormal karyotypes, which may represent WHO-defined recurrent abnormalities with potential diagnostic, prognostic, or predictive implications, should be interrogated by FISH to avoid incorrect diagnoses or prognoses given the potential for false-positive karyotype results. Furthermore, because of the nature of malignant cells, both interphase and metaphase FISH analysis may be necessary to assess for definitional, predictive, or prognostic alterations seen, not seen, or poorly visualized by karyotype. Importantly, as presented here, chromosomal rearrangement involving regions corresponding to recurrent abnormalities with known disease associations need not necessarily involve the same break points with attendant dysregulation or novel fusion protein product. Not every *t(9;22)* yields the Ph chromosome, and *inv(3)* and *t(3;3)* do not invariably lead to *EV11* overexpression. Confirmation of WHO defined recurrent conventional cytogenetic abnormalities by orthogonal methods like FISH is necessary when possible to avoid false

negative conventional chromosome rearrangements.

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