

Covid-19 Breakthrough Infections in Vaccinated People. A Clinical-Epidemiological Case Series Reporting of 30 Patients in Toledo (Spain) from February to September 2021

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Abstract

Background: COVID-19 vaccines show excellent efficacy, but some people still become infected with SARS-CoV-2 after vaccination.

Objective: To study, in general medicine, COVID-19 breakthrough infections in vaccinated people

Methodology: An observational, longitudinal and prospective case series study of COVID-19 breakthrough infections in vaccinated people, based on a prospective cohort of patients was carried out from February 1, 2021 to September 30, 2021, in a general medicine office in Toledo (Spain)

Results: During the 8 months of the study, 30 patients with COVID-19 breakthrough infections in vaccinated people were included, with a mean age of 65 years. 53% were women. 73% were symptomatic. Mild symptoms of ENT predominated (33%), followed by respiratory (27%). 57% had chronic diseases. 60% of the cases had been vaccinated with BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech) and 20% with ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford / AstraZeneca).

Conclusion: In the context of general medicine in Toledo (Spain), during the first 8 months of COVID-19 vaccination, COVID-19 breakthrough infections in vaccinated people occur in older adults with chronic diseases, without gender differences, and frequently they are mild symptomatic. Each of the vaccines has associated breakthrough infections.

Keywords: COVID-19; SARS-CoV-2; Vaccination; Breakthrough Infection; General Practice; Case Series

Abbreviations: WHO: World Health Organization; PCR: Polymerase Chain Reaction.

Introduction

On December 31, 2019, the World Health Organization (WHO) reported a series of cases of pneumonia caused by an unknown agent in the city of Wuhan, China. In January 2020, it was reported that the cause of this infection was a new coronavirus called SARS-CoV-2 and the disease caused by it, COVID-19. The global repercussions of the epidemic were quickly evident, which is why in March 2020 the WHO granted it the category of pandemic [1]. As of October 4, 2021 COVID-19 has caused more than 234 million confirmed cases and 4 million deaths [2,3].

An unprecedented feat has occurred in this situation: developing and administering a vaccine against the new

pathogen in just 338 days after the genetic sequence was performed [4]. Since December 2020, several vaccines have been licensed by regulators such as the European Medicines Agency, the US Food and Drug Administration, and the UK Medicines and Health Products Regulatory Agency [5-9]. Currently, the European Commission has licensed four vaccines: BNT162b2 mRNA vaccine (Comirnaty, Pfizer/ BioNTech), licensed December 21, 2020; mRNA-1273 vaccine Spikevax (formerly COVID-19 Moderna vaccine, licensed January 6; ChAdOx1 nCoV-19 vaccine (AstraZeneca vaccine), licensed 29 December and the Janssen/Johnson & Johnson vaccine, authorized on March 11. In Spain, these four vaccines are currently available, all of which have been approved by the European Medicines Agency [10]. At present, including all the vaccines developed, more than 6,000 million vaccines have been administered [2,3].

Data presented in different studies and other phase 3 clinical trials have demonstrated a robust efficacy of these vaccines (>85%) in preventing severe symptomatic disease [11-17]. But some people still become infected with SARS-CoV-2 after vaccination. More than a quarter of fully vaccinated patients admitted to hospital with SARS-CoV-2 have been reported to be seriously or critically ill with COVID-19; This could be a reflection of numerous factors, including the appearance of SARS-CoV-2 variants that could confer a decreased efficacy of the vaccine, an ineffective immune response among people with comorbidities (eg, older age, overweight, and use immunosuppressive agents, as well as those living in more disadvantaged areas), and the ability to prevent asymptomatic infection [18-27].

Spain began its mass immunization program on December 27, 2020, shortly after the first COVID-19 vaccine (BNT162b2 mRNA vaccine; Comirnaty, Pfizer/BioNTech) was approved earlier that month. With data of September 30, 2021, in Castilla La Mancha, (Spain), 85% of the population has a complete COVID-19 vaccination schedule [28]. Breakthrough cases should be investigated to assess how COVID-19 vaccines work in real-world conditions. This is important for [29]:

- Learning if vaccines offer the same protection seen in clinical trials.
- Adjusting vaccine recommendations, as needed.
- Learning why and how often breakthrough cases (people getting sick after the two-week period after their final dose) occur.
- Learning how vaccines protect against COVID-19 variants.

In this context, we present an observational, longitudinal and prospective study in general medicine in Toledo, Castilla La Mancha (Spain), based on a cohort of patients from February 1, 2021 to September 30, 2021, which aimed to know

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and describe the clinical-epidemiological characteristics of patients who consult for COVID-19 breakthrough infections.

Material and Methods

Design and Emplacement

An observational, longitudinal and prospective case series study of COVID-19 breakthrough infections in vaccinated people, based on a prospective cohort of patients was carried out from February 15, 2021 to September 31, 2021, in a general medicine office in the Health Center Santa Maria de Benquerencia, Toledo (Castilla La Mancha, Spain), which has a list of 2,000 patients >14 years of age (in Spain, the general practitioners [GPs] care for people >14 years of age, except for exceptions requested by the child's family and accepted by the GP). The GPs in Spain work within the National Health System, which is public in nature, and are the gateway for all patients to the system, and each person is assigned a GP [30].

Outcome of Interest

The outcome of interest was characterizing COVID-19 breakthrough infections in vaccinated people.

Diagnosis of COVID-19 Breakthrough Infections in Vaccinated People

Because the vaccines require about two weeks reaching their maximum effectiveness, a person is not considered fully vaccinated until two weeks after they completed the recommended number of doses for the vaccine they received. Therefore, for public health surveillance purposes, a case of COVID-19 vaccine breakthrough is defined as someone who tests positive (PCR or antigen) for COVID-19 being fully vaccinated.

To consider a person as fully vaccinated, it was required:

- That they have received 2 doses of vaccine separated by a minimum of 19 days if the first dose was BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech), 21 days in the case of ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford/AstraZeneca) or 25 days in the case of mRNA-1273 vaccine (Spikevax, formerly COVID-19 Vaccine Moderna), and that a minimum period of 7 days has elapsed since the last dose if the last dose was with BNT162b2 mRNA vaccine (Comirnaty), or 14 days if it was with ChAdOx1 nCoV-19 vaccine (Vaxzevria) or mRNA-1273 vaccine (Spikevax). People who received a dose of Janssen vaccine (Johnson & Johnson vaccine) more than 14 days ago were also considered fully vaccinated.
- Or, that having passed the disease they have received a

dose of any of the vaccines, after the minimum period equal to that established for the second doses.

• In the heterologous regimen in which Vaxzevria (Oxford/ AstraZeneca) is used in the first dose and mRNA vaccines in the second, it was considered fully vaccinated after 7 days if the second dose was with Comirnaty or after 14 days if it was with the Moderna vaccine [31].

COVID-19 Diagnosis

Diagnosis was performed with reverse transcriptase polymerase chain reaction (PCR) oropharyngeal swab test or antigen test for symptomatic patients with less than 5 days of evolution [32]. The PCR tests were performed both in symptomatic patients and in asymptomatic contacts. A symptomatic confirmed case with active infection was considered to be any person with a clinical picture of sudden onset acute respiratory infection of any severity that occurs, among others, with fever, cough or feeling of shortness of breath. Other symptoms such as odynophagia, anosmia, ageusia, musc le pain, diarrhea, chest pain or headache, among others, were also considered symptoms of suspected SARS-CoV-2 infection according to clinical criteria; and a positive PCR or rapid antigen test positive [33].

Collected Variables

Data were extracted from the medical records of the general medicine practice under study. The following variables were collected:

- Age and sex.
- Symptoms of COVID-19 breakthrough infections in vaccinated people.
- Chronic diseases (defined as "any alteration or deviation from normal that has one or more of the following characteristics: is permanent, leaves residual impairment, is caused by a non-reversible pathological alteration, requires special training of the patient for rehabilitation, and/or can be expected to require a long period of control, observation or treatment" [34,35], classified according to the International Statistical Classification of Diseases and Health-Related Problems, CD-10 Version: 2019.
- Duration of symptoms in days of COVID-19 breakthrough infections in vaccinated people.
- Social-occupancy class de COVID-19 breakthrough infections in vaccinated people (according to the Registrar General's classification of occupations and social status code) [36,37].
- If they were Health Care Workers.
- Complex family and low income household based on the genogram and in the experience of the general practitioner about continuity of care and knowledge of the family (genogram was a schematic model of the

structure and processes of a family, which included the family structure, life cycle and family relational patterns. It was understood that "complex" genogram identified complex families with psychosocial problems) [38-41].

- Ethnic minority.
- Sick leave due to COVID-19 breakthrough infections in vaccinated people.
- Symptomatic/asymptomatic COVID-19 breakthrough infections in vaccinated people.
- Severity of COVID-19 breakthrough infections in vaccinated people (mild cases: clinical symptoms are mild and no manifestation of pneumonia could not be found on images; moderate cases: with symptoms such as fever and respiratory tract symptoms, and the manifestation of pneumonia can be seen on the imaging tests; and severe cases: respiratory distress, respiratory rate ≥ 30 breaths/min., pulse oxygen saturation ≤93% with room air at rest, arterial partial pressure of oxygen/oxygen concentration ≤300 mmHg.) [42]; to simplify comparison, moderate and severe cases were counted together.
- Vaccine type: BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech), mRNA-1273 vaccine (Spikevax, formerly COVID-19 Vaccine Moderna), ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford/AstraZeneca), and Janssen (Johnson & Johnson vaccine).

Sample

All patients who consulted for COVID-19 breakthrough infections in vaccinated people from February 1, 2021 to September 30, 2021 were included, and that they were seen in the consultation object of the study and their medical documentation was available.

Results

Thirty patients with COVID-19 breakthrough infections in vaccinated people (CBIVP) were included, with a mean age of 65.5 + -14.71 (Range: 26-84 years), 27% were >= 65 years, and 23% >= 45 years old. 53% were women. Only 1 patient (3%) had had a previous COVID-19. 73% were symptomatic. The duration of symptoms in days of the COVID-19 breakthrough infections in vaccinated people was 5.63 + -4.00 (Range: 2-20 days) (Tables 1-4). ENT symptoms predominated (33%: Anosmia 1, rhinorrhea 3, odynophagia 11, pharyngeal mucus 3, nasal congestion 5), followed by respiratory (27%: chest pain 1, cough 18). There were 2 severe infections (one pneumonia, and another patient with pneumonia and ovarian vein thrombosis). 17% were health workers. 33% required sick leave. 13% were ethnic minority. 57% had chronic diseases: musculo-skeletal (24%), endocrine (16%), Digestive system (15%), and Nervous and Senses and Circulatory system (13%) were predominated.

60% of the cases had been vaccinated with BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech) and 20% with ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford/AstraZeneca).

There were no patients vaccinated with the heterologous regimen.

Variables	Covid-19 Breakthrough Infections In Vaccinated People N=30
Age in years (Arithmetic mean + - Standard deviation; Range)	65.5+-14.71 (Range: 26-84 years)
> = 65 years	8 (27)
= < 45 years	7 (23)
= < 18 years	0
Women	16 (53)
Previous symptomatic COVID-19 (and one dose of vaccine)	1 (3)
Previous asymptomatic COVID-19 (and one dose of vaccine)	0
Previous COVID-19 with moderate-severe severity	0
Symptomatic COVID-19 in breakthrough infections in vaccinated people	22 (73)
Duration of symptoms in days of COVID-19 in breakthrough infections in vaccinated people(Arithmetic mean + - Standard deviation; Range)	5.63+-4.00 (Range: 2-20 days)
COVID-19 breakthrough infections in vaccinated people with severity moderate and severe	2 (7) [2 pneumonia and 1 ovarian vein thrombosis]
Social-occupancy class of patients (people with some type of labour specialization)	10 (33)
Health Care Workers with COVID-19 breakthrough infections in vaccinated people	5 (17)
Sick leave for COVID-19 breakthrough infections in vaccinated people	10 (33)
Ethnic minority with COVID-19 breakthrough infections in vaccinated people	4 (13)
Low income household in COVID-19 breakthrough infections in vaccinated people	4 (13)
Complex family with COVID-19 breakthrough infections in vaccinated people	1 (3)
Chronic diseases presence in COVID-19 breakthrough infections in vaccinated people	17 (57)

(): Denotes percentages

Table 1: Frequency of Selected Variables in the Case Series of Covid-19 Breakthrough Infections in Vaccinated People.

Symptoms * According To Who, Icd-10 Groups	Covid-19 Breakthrough Infections In Vaccinated People N=30
General (discomfort, asthenia, myalgia, fever, arthralgias)	15 (21) [Fever 10, asthenia 2, discomfort 3]
Respiratory (cough, dyspnea, chest pain)	19 (27) [chest pain 1, cough 18]
ENT (anosmia/ageusia, odynophagia, rhinorrhea, pharyngeal dryness-mucus, epixtasis)	23 (33) [Anosmia 1, rhinorrhea 3, odynophagia 11, pharyngeal mucus 3, nasal congestion 5]
Digestive (anorexia, nausea/vomiting, diarrhea, abdominal pain)	5 (7) [Anorexia 2, vomiting, 1, diarrhea 2]
Neurological (headache, dizziness, mental confusion -brain fog)	8 (12) [Headache 6, dizziness 2]
Psychiatric (anxiety, insomnia)	0
Skin (chilblains, flictenas, rash)	0
Total symptoms*	70 (100)

(): Denotes percentages

* Patients could have more than one symptom. The percentages are over the total of symptoms Table 2: Symptoms in Covid 10 Proslithrough Infections in Vaccineted People

Chronic Diseases* According To Who, Icd-10 Groups	Covid-19 Breakthrough Infections In Vaccinated People N=30
-III Diseases of the blood	2 (2)
-IV Endocrine	13 (16)
-V Mental	4 (5)
-VI-VIII Nervous and Senses	10 (13)
-IX Circulatory system	10 (13)
-X Respiratory system	3 (4)
-XI Digestive system	12 (15)
-XII Diseases of the skin	0
-XIII Musculo-skeletal	19 (24)
-XIV Genitourinary	6 (8)
TOTAL chronic diseases*	79 (100)

(): Denotes percentages

* Patients could have more than one chronic disease. The percentages are over the total of chronic diseases **Table 3:** Chronic Diseases in Covid-19 Breakthrough Infections in Vaccinated People.

Vaccine Type	Covid-19 Breakthrough Infections In Vaccinated People N=30
BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech)	18 (60)
mRNA-1273 vaccine (Spikevax, formerly COVID-19 Vaccine Moderna)	1 (3)
ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford/AstraZeneca)	6 (20)
Janssen (Johnson & Johnson vaccine)	5 (17)

(): Denotes percentages

Table 4: Vaccine Type in Covid-19 Breakthrough Infections in Vaccinated People.

Discussion

Since the start of the vaccination campaign, the development of COVID-19 has been reported in people who have received one or both doses of the vaccine [43]. In public health, a "breakthrough case" is when a fully vaccinated person later gets the disease they were vaccinated for. No vaccine provides 100 % protection against infection, so breakthrough cases are not new, and not unique, to COVID-19. People fully vaccinated against COVID-19 are less likely to become infected with the coronavirus if they have relatively high levels of virus-blocking antibodies, according to a study of thousands of healthcare workers who received BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech). Analysis of the data on the ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford/ AstraZeneca) also showed a link between higher levels of neutralizing antibodies and a lower likelihood of progressive infection [44]. It has been considered that with respect to the three pillars of the immune system (antibodies, B cells and T cells), vaccination stimulated long-lasting cellular immunity; memory B cells continued to grow in number for at least six months and improved at fighting the virus over time; T cell

counts were relatively stable, declining only slightly over time [45].

Severity and Hospitalization

Although the trend of COVID-19 breakthrough infections in vaccinated people is worrying, they remain relatively rare, and those that cause serious illness, hospitalization or death even more. More than 97 percent of people hospitalized for COVID-19 are not vaccinated. Still, vaccinated people can get asymptomatic or mild infections. The dose is also important: a vaccinated person exposed to a low dose of the coronavirus may never become infected, or not be noticeably infected [46]. Likewise, the vaccine has been shown to reduce the incidence of asymptomatic infection and associated infectivity [12,47-53]. Our study repeats these data: COVID-19 breakthrough infections in vaccinated people were rare: 29 cases in 8 months for a study population of 2000 people, and with an official complete vaccination rate of 85% [28]; and predominantly with mild symptoms (67%; 20 of 30) or asymptomatic (27%; 8 of 30); only 2 cases were hospitalized.

The benefits of these vaccines are substantial and their rapid implementation is an important public health achievement [54], although protection after two doses of BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech) decreased from 88% in one month to 74%, between five and six months after vaccination; and the protection of the ChAdOx1 nCoV-19 vaccine (Vaxzevria, Oxford/AstraZeneca) decreased from 77% at one month to 67% at four to five months [55]. Four out of 10 people who are clinically vulnerable generate lower levels of antibodies than healthy recipients after two injections of the SARS-CoV-2 vaccine, according to a study that included patients with solid organ and hematologic cancers, end-stage kidney and liver disease, organ transplants, and immune-mediated inflammatory disease, such as inflammatory bowel disease, vasculitis, or rheumatoid arthritis [56].

Symptoms

Research shows that in vaccinated people the presence of the virus is confined to the nose and nasopharynx, while the lungs are free In our study, generally mild symptoms of ENT (33%: Anosmia 1, rhinorrhea 3, odynophagia 11, pharyngeal mucus 3, nasal congestion 5) were predominant [57]. We also found a short duration of symptoms (mean of 4.7 + -2.2 days, in the 20 symptomatic patients not hospitalized), reducing the risk of prolonged COVID-19. On the other hand, it has been reported that the symptoms of COVID-19 change for those vaccinated: Fever was no longer the most permanent symptom, loss of taste and smell either, and rhinorrhea, sore throat or dry cough and headache appeared as very frequent symptoms in people with the vaccine [58]. Our results share this finding.

Comorbidities

Thanks to the vaccine, there are now only three reasons why there may be people admitted, and that highly reduces the risk: In the first place, a vaccinated person could become infected again if his defenses have not responded well to the vaccines; secondly if, after several months, it has lost potency, something that can happen in people with a delicate immune system; and lastly, in people who have risk factors such as diabetes, obesity or asthma. Certain comorbidities, including heart disease and lung disease, have been reported to be significantly associated with post vaccination infection. We found that 57% had chronic diseases, predominantly musculo-skeletal (24%), endocrine (16%), digestive system (15%), and nervous and senses and circulatory system (13%).

Health Workers

The risk of reporting a positive SARS-CoV-2 test is

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higher among frontline healthcare workers than the general population probably reflecting greater exposure and testing. We found that 17% were health workers.

Age and Gender

As of April 2021, the population infected with vaccination in the United States consisted of 63% women (proportional to the proportion of vaccinated); the median age was 58 years [59]. Our data indicate that there was no difference in COVID-19 breakthrough infections in vaccinated people by sex, and that they predominated in older adults with a mean age of 65.5 + -14.71 (27% in>=65 years).

Vaccines

Each of the vaccines has associated breakthrough infections. The majority of COVID-19 breakthrough infections in vaccinated people in our series of cases were vaccinated with BNT162b2 mRNA vaccine (Comirnaty, Pfizer/BioNTech), which simply translates the fact that the majority of the population was vaccinated with that vaccine. In the absence of denominators, these data do not allow conclusions to be drawn.

Limitations of the Study

Our study has several limitations:

- First, although we provided extensive documentation of a cohort of COVID-19 breakthrough infections in vaccinated people, the number of cases was relatively small.
- Second, they may have missed asymptomatic cases that did not attend in GP consultation, as no surveillance or systematic screening was done.

Conclusion

COVID-19 breakthrough infections in vaccinated people occur in older adults with chronic diseases; without gender differences, and are frequently mild symptomatic, with ENT and respiratory symptoms predominant. Most were vaccinated with Pfizer-BioNTech-BNT162b2 (Pfizer/ BioNTech) mRNA, which simply translates the fact that the majority of the population was vaccinated with that vaccine. Our data show that each of the vaccines has associated breakthrough infections, and with these small numbers we cannot report that one is more or less effective in our population. Our findings could support the advice to be prudent in relaxing physical distancing and other personal protection measures in the post-vaccination era, particularly around frail older adults, even if these people are vaccinated, and this could have implications for strategies such as booster vaccines.

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