

Prediction Model for Risk of Adenoma at Screening Colonoscopy

Hanson BJ¹, Nabihashamsi², Shanley R³ and Aasma S^{4*}

¹Gastroenterology, University of Minnesota, USA

²Gastroenterology, University of Minnesota, USA

³Masonic Cancer Center, University of Minnesota, USA

⁴Gastroenterology, University of Minnesota, USA

***Corresponding author:** Aasma Shaukat, University of Minnesota, Section Chief Gastroenterology Minneapolis VA Medical Center, 1 Veterans Drive, 111D, Minneapolis, Minnesota, 55417, USA., Email: shaukat@umn.edu

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Abstract

Aim: To identify risk factors for adenomatous polyps and develop an adenoma prediction model in individuals undergoing screening colonoscopy.

Methods: We extracted demographic data, smoking history, current aspirin use and family history of colorectal cancer (CRC) as well as colonoscopy and histopathology results from individuals who underwent screening colonoscopy at the Minneapolis VA Medical Center between 2007 and 2012.

Results: 3000 veterans were included. Adenomas were found in 1,063 patients (35%). Advanced adenomas were seen in 248 patients (8%). Risk factors for adenoma: age (OR 1.02, 95% CI 1.01, 1.03), male sex (OR 2.26, 95% CI 1.50, 3.52), and smoking (OR 1.53, 95% CI 1.29, 1.83). Risk factors for advanced adenoma: male sex (OR 3.79, 95% CI 1.18, 23.2) and smoking (OR 1.61, 95% CI 1.10, 2.34). Variables included in the final model were age, sex, BMI, race, use of aspirin, smoking history, and family history of CRC. The adjusted AUROC for adenoma was 0.532 (95% CI 0.517, 0.554) and for advanced adenoma 0.613 (95% CI 0.564, 0.651).

Conclusion: The model can be used to predict the risk of adenoma at screening colonoscopy and identify those patients who will benefit most from screening colonoscopy.

Keywords: Colorectal Cancer; Prediction: Risk Score; Adenoma; Advance Adenomatous Neoplasia; Colonoscopy

Core Tip

The model developed in this study predicts the risk of adenoma at screening colonoscopy and it represents a clinically useful stratification tool that could allow for targeted use of colonoscopy screening in patients at greatest risk. The model could be used by individual providers to counsel patients on screening options.

Further it could be used by large healthcare organizations or national healthcare systems in resource poor areas to target high-risk populations for prioritized screening colonoscopy.

Introduction

Colorectal cancer (CRC) is the second leading cause of cancer-related death in the U.S [1]. CRC incidence and

mortality vary significantly with age and gender. Family history, smoking and obesity are risk factors for CRC [2-4], while ASA use may be protective [5]. Despite these known variations, current guidelines recommend CRC screening for everyone over age 50 [6,7] which poses a significant burden on available resources. The American College of Physicians recommend that individualized risk assessment for CRC risk should be performed in all adults, and a screening modality should be selected based on individual risk [8]. However, no definitive way to assess the risk of CRC in individuals has been established.

Adenomas are thought to be the precursor lesion in most CRC [9-11]. The 2008 U.S Multi-Society Task Force screening guidelines emphasized that the primary goal of screening should be prevention of CRC by detection and removal of asymptomatic adenomas [12]. Screening colonoscopy, which allows for the removal of precancerous lesions, has been shown to significantly decrease the risk of developing CRC [9].

Calculating individual risk of CRC is one way to risk stratify patients and prioritize CRC screening. Current available risk calculators for CRC include the Harvard Cancer Risk Index [13], a model from the National Cancer Institute (NCI) [14], and the CRC-PRO calculator [15]. These models require patient data, including age, sex, height, weight, tobacco use, alcohol use, aspirin use, non-steroidal anti-inflammatory drug (NSAID) use, consumption of red meat, milk, calcium/vitamin D supplement use, multivitamin use, exercise frequency, estrogen use, and years of education. Furthermore, these calculators are designed to predict the risk of developing CRC but do not predict the risk of adenoma. Several recent studies have reported scoring systems for advanced colorectal neoplasms for identifying patients most at risk and prioritizing screening colonoscopy [16-21]. However, none of these studies evaluate the risk of adenoma. We have developed a risk score for adenoma in individuals undergoing screening colonoscopy [22]. The objective of this study was to develop a risk score in veterans undergoing screening colonoscopy at the Minneapolis VA Medical Center.

Materials and Methods

We performed a retrospective chart review of all patients who underwent screening colonoscopy at the Minneapolis Veterans Affairs Medical Center between 2007 and 2012. Incomplete colonoscopies or those with inadequate bowel prep were also excluded. The independent variables were age at colonoscopy, sex, race,

BMI, smoking history, current use of aspirin, and history of colorectal cancer in at least one first-degree relative. The outcome variable was one or more adenomas or advanced adenoma/CRC found by the screening colonoscopy.

Statistical Analysis

Model parameters were used to calculate predicted probabilities and estimate an area under the receiver operating characteristic curve (AUROCC) [22]. The effects of these variables, along with interactions selected before model fitting, were estimated by logistic regression. Likelihood ratio tests, combining the main effects with the interaction effects, measured the overall effect of variables involved with interactions. The receiver operating characteristic curve (ROCC) was estimated with the convex hull approach [23], and the area under this curve (AUROCC) was computed. To mitigate bias induced by such reuse of the data, the bootstrap method for estimation of prediction error was applied [24]. Confidence intervals (CIs) for the adjusted estimates were produced by a second, outer bootstrap applied to the entire estimation and adjustment process, yielding a double bootstrap. To obtain adequate precision for the CIs, the outer bootstrap consisted of 2,000 iterations. The cumulative distribution of estimated risk was plotted to determine proportions of the population falling below any particular risk. The statistics were performed and reviewed by a biomedical statistician (R.S.)

The predictive equation developed for adenoma is: $\text{logit}[\text{prob}(\text{Adenoma})] \text{ or } \log[p/(1-p)] = -1.545 + 0.019(\text{age} - 58.9) + 0.815(\text{male}) + 0.068(\text{FDR with CRC}) + 0.013(\text{BMI} - 27.6) + 0.428(\text{ever smoker}) - 0.31(\text{non-white}) - 0.04(\text{aspirin user})$.

The predictive equation developed for advanced adenoma is: $\text{logit}[\text{prob}(\text{Advanced Adenoma})] = -3.132 + 0.031(\text{age} - 58.9) + 0.533(\text{male}) + 0.002(\text{FDR with CRC}) + 0.012(\text{BMI} - 27.6) + 0.713(\text{ever smoker}) + 0.092(\text{non-white}) - 0.259(\text{aspirin user})$.

Results

A total of 3000 veterans were included in the validation of the risk prediction model (Table 1).

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	No adenoma (n=1937)	Adenoma (n=1063)	Total (n=3000)
Male	1815 (94%)	1033 (97%)	2848 (95%)
Median age (IQR)	61 (56-65)	62 (57-65)	61 (57-65)
White	1556 (80%)	822 (77%)	2378 (79%)
Black	112 (6%)	42 (4%)	154 (5%)
Other race	40 (2%)	19 (2%)	59 (2%)
Unavailable	229 (12%)	180 (17%)	409 (14%)
Median BMI (IQR)	30 (26-34)	30 (27-34)	30 (27-34)
Ever smoker	461 (24%)	324 (30%)	785 (26%)
Aspirin use	1052 (54%)	589 (55%)	1641 (55%)
FDR with CRC	275 (14%)	156 (15%)	431 (14%)

Table 1: Demographic Data.

IQR: Intraquartile Range; BMI: Body Mass Index; FDR: First Degree Relative; CRC: Colorectal Cancer

Complete data on all seven variables was available in 2963 patients (98.8%). Median age of this cohort was 61. The majority, 2848 (95%) were male and 2378 were white (79%). The median BMI was 30. A first degree relative with CRC was present in 14% of patients and in 6.3% of patients this FDR was age < 60 years of age at diagnosis. Adenomas were found in 1,063 patients (35%). 684 patients (22%) had a right-sided adenoma, defined as

proximal to the splenic flexure. The vast majority of adenomas were tubular. Advanced adenomas (adenoma \geq 10 mm, high-grade dysplasia, or villous adenoma) were seen in 248 patients (8%). Adenomas \geq 10 mm were seen in 201 patients (7%). High-grade dysplasia and villous features were seen in 23 patients (0.8%) and 121 patients (4%), respectively. CRC was found in 13 patients (0.4%). Sessile serrated adenomas were seen in 100 patients (3%). The histopathology results are included in (Table 2).

Histopathologic results	n (%)
\geq 1 adenoma	1063 (35%)
Left sided adenoma	606 (20%)
Right sided adenoma	664 (22%)
Adenoma \geq 10 mm	201 (7%)
High grade dysplasia	23 (0.8%)
Villous	121 (4%)
CRC	13 (0.4%)
One or more advanced adenomas (\geq 10 mm, high grade, villous, CRC)	248 (8%)
SSA	100 (3%)

Table 2: Histopathologic Results.

CRC, colorectal cancer; SSA, sessile serrated adenoma

Risk factors for any adenoma in our study included age (OR 1.02, 95% CI 1.01, 1.03), male sex (OR 2.26, 95% CI 1.50, 3.52), and smoking (OR 1.53, 95% CI 1.29, 1.83). A negative association was seen with non-white race (OR 0.734, 95% CI 0.53, 0.99). Risk factors for advanced

adenoma included only smoking (OR 2.04, 95% CI 1.53, 2.71). The adjusted AUROC for any adenoma in the cohort was 0.563 (95% CI 0.517, 0.554) (Figure 1).

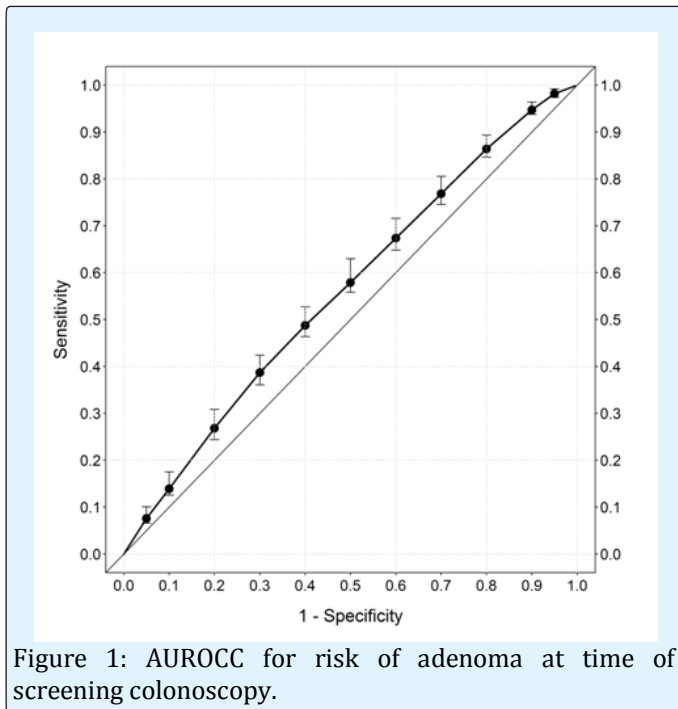


Figure 1: AUROCC for risk of adenoma at time of screening colonoscopy.

The adjusted AUROCC for advanced adenoma in the cohort was 0.613 (95% CI 0.564, 0.651) (Figure 2).

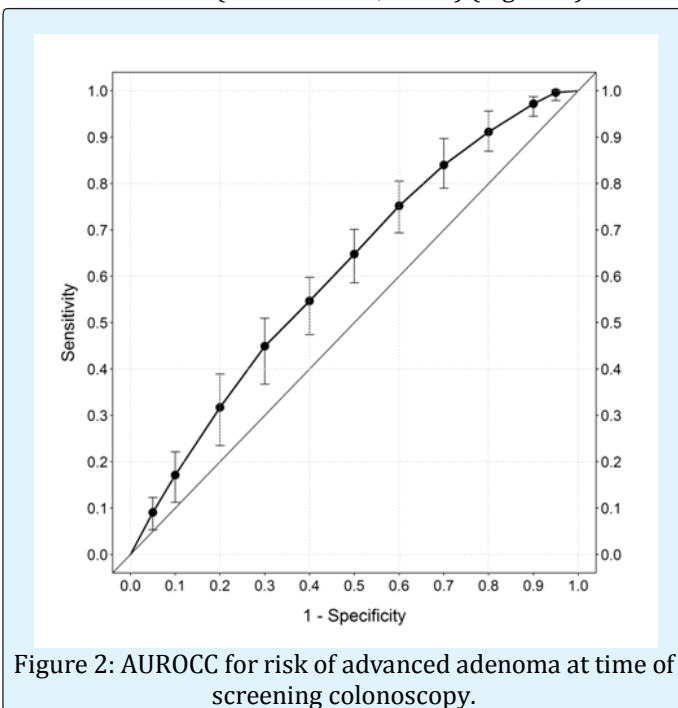


Figure 2: AUROCC for risk of advanced adenoma at time of screening colonoscopy.

A plot of predicted risk of adenoma detection (horizontal axis) by fraction of the population at or below that risk (vertical axis) is shown in (Figure 3).

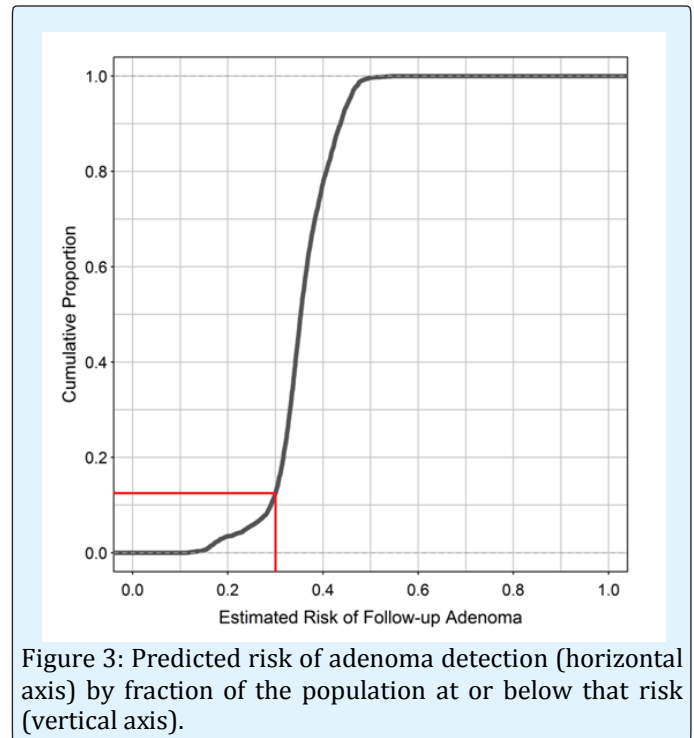


Figure 3: Predicted risk of adenoma detection (horizontal axis) by fraction of the population at or below that risk (vertical axis).

This figure illustrates the model's impact and potential use in clinical practice. For example, if we define high-risk as individuals where predicted probability of an adenoma is >0.3 , 87% are classified as high-risk. This classification would accurately capture 92% of all adenomas. Of the high-risk individuals undergoing colonoscopy, adenoma would likely be found in 37% (28.3% adenoma and 8.7% advanced adenoma) improving the number of therapeutic screening colonoscopies. Of the entire cohort 8% of patients with adenoma would not be prioritized for colonoscopy initially.

Discussion

We developed a risk model in a cohort of veterans undergoing screening colonoscopy. While this study did not compare screening colonoscopy to other screening modalities the results are the first step towards allowing payers, patients and physicians to use risk thresholds to decide whom to offer screening colonoscopy and whom to offer other screening modalities, or which individuals should be prioritized for screening colonoscopy based on estimated risk of harboring adenoma. Our AUC are low (0.56 and 0.61 respectively). While this may be the case the data points used in calculating risk of adenoma are simple and easy to collect. The model could be improved with future studies of a larger, more diverse population. A detailed history of aspirin and NSAID use in addition to

pack years and family history via a prospective database would enhance the model. However, this approach is still an improvement on the current practice of informing screening decisions. As illustrated in (Figure 3), a selected threshold for the cumulative probability of harboring adenoma can be used to stratify patients for colonoscopy. For example, if we set the risk threshold for harboring an adenoma at > 0.3 , the cumulative fraction of the population below this cut-off would be 13%. This population would be offered less invasive screening, such as fecal occult blood test or even no screening. Only 87% of the population would be prioritized to screening colonoscopy. Of course, the actual cut-off for predicted risk would have to be based on a careful analysis and comparison of cost-effectiveness for each potential cut-off, or multiple cut-offs.

Risk factors for adenoma in our study included age, male sex, and smoking. Increasing age and male sex have been consistently associated with risk of adenoma [16,19-21]. A negative association was seen with non-white race in our study, but the association with race has been inconsistently observed in other studies [25-28]. No differences were seen with FDR with CRC. The literature is mixed on the influence of FDR. While some have found an association with FDR [19,20,26], others have found no association [29]. Aspirin use was not associated with adenoma risk in our study which is consistent with other studies [19]. Risk factors for advanced adenoma in our study included only smoking.

Currently, three models are available in the US to predict the risk of CRC and several published studies estimating the risk of advanced neoplastic adenomas. However, none predict the risk of adenoma [13-15]. These models are complicated and require data points that can only be supplied by focused patient interview, and many of these variables are subject to recall bias. An ideal model would not only assess adenoma risk rather than colon cancer risk when stratifying patients for colon cancer screening by colonoscopy but would also include only objective risk factors easily identified by electronic chart review and exclude prior endoscopic findings.

Two international studies attempted to predict the risk of advanced neoplastic adenoma by including prior endoscopy and history of colonic polyps as risk factors [16,17]. A South Korean study also evaluated a model that initially does not rely prior endoscopy findings but is recalculated to incorporate flexible sigmoidoscopy findings after low-risk patients undergo flexible sigmoidoscopy [18].

Other studies have calculated risk of adenoma without relying on endoscopic findings. A Polish study used readily identifiable objective risk factors similar to ours: age, sex, BMI, smoking history and FDRs with CRC. Points were assessed for the various risk factors with scores ranging from 0 to 7-8. The risk of detecting advanced neoplasia on screening colonoscopy ranged from 1.32% for patients with a score of 0 up to 19.12% for patients with score of 7-8 [19]. Two additional studies from Asia assess the risk of advanced neoplastic adenoma. One includes readily identifiable risk factors similar to the Polish model [20]. Another from China relied on dietary factors and other risk factors not previously validated such as the consumption of pickled and fried food [21]. It is not clear that these models are applicable to the US population.

The variables in our model are relatively easy to obtain and not subject to recall bias. In the future we envision the electronic medical chart to be able to automatically calculate a risk score for individuals that are screen eligible, to inform the discussion between providers and patients. The impact of such a score on screening uptake and decisions needs to be studied. A risk score has other applications, such as, colonoscopy scheduling could be modified to allow for greater time in patients at higher risk of adenoma who might require longer procedure times for polypectomy.

Strengths of our study include a large sample size undergoing high quality colonoscopic evaluation as judged by prep quality, adenoma detection rate and withdrawal time. The prep was at least adequate in every colonoscopy. Further the adenoma detection rate in our study of 35% and withdrawal time of eight minutes greater than the established guidelines for determining high-quality colonoscopy [30].

Limitations of our study include that ours is a single center design of mostly male patients. In addition, details on smoking history were limited and did not include pack years. Our study does not have information regarding second-degree relatives with CRC. NSAID use was also missing from this cohort. Further studies from multiple centers with increased diversity would allow for more generalizable results and a prospective study would eliminate potential bias by allowing for more accurate and detailed collection of risk factors (such as NSAID use and second degree relatives with CRC). These factors would strengthen and enhance the model.

Conclusion

The development of a straightforward, clinically useful risk stratification model will allow for the targeted use of colonoscopic screening in patients at greatest risk. The model could be used by individual providers to better counsel patients and large healthcare organizations could use the model to target high-risk populations for prioritized screening colonoscopy.

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