

## **Tracheostomy: Five-Year Review in a West African Hospital**

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## **Review Article**

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#### Abstract

**Introduction:** The aim of this study was to determine the frequency of tracheotomies in our department over the last five (5) years, list the indications and explain the technique and postoperative follow-up.

**Material and Methods:** This is a 5-year (January 2013-December 2017) retrospective and analytical study of the records of patients who have had tracheotomies in the ENT and Neck and Facial Surgery department of the Ignace Deen National Hospital in Conakry.

**Results:** During this period, 48 tracheotomies were performed in the department, with a frequency of 5.42%. The mean age of our patients was 35 years with extremes of 9 years and 67 years. The age group from 10 to 19 was the most affected. The sex ratio was 1.67. All socio-professional categories were affected. The aetiologies of the air obstacle were dominated by cancerous lesions: laryngeal cancer, laryngeal papillomatosis and hypopharyngeal cancer, representing 22.92%, 20.83% and 14.58% of cases respectively. The tracheotomy was most often carried out under local anaesthesia with a supra isthmic tracheal opening in an inverted H or I shape in 77.08% of cases. Complications observed in our series were cervical emphysema and obstructive mucus plug in 6.25% of cases and 1 case of death, i.e. 2.08%. Early decanulation was performed in 41.67% of cases.

**Conclusion:** Tracheostomy is a lifesaving procedure and commonly performed in our practice. A proper technique with carefully selected indications enables minimises the risk of complications.

Keywords: Tracheotomy; ENT; Conakry

**Abbreviations:** IMV: Invasive Mechanical Ventilation; ARF: Acute Respiratory Failure.

#### Introduction

Performed in an emergency or as part of a scheduled surgery, tracheotomy is the surgical opening, either temporary or permanent, of the anterior cervical trachea with the insertion of a cannula through the skin to the lower respiratory tract. It is a life-saving surgical procedure; known since ancient times, commonly used by the Otorhinolaryngology to bypass a pharyngo-laryngo-tracheal obstacle, and allow difficult intubation or resuscitation. Its frequency varies from one country to another. The range of indications for tracheotomy, which depends on the context of practice, has generally evolved with the development of head and neck surgery and resuscitation [1].

The aim of this study was to determine the frequency of tracheotomy in our department over the last 5 years, list the indications, and describe the technique and the postoperative follow-up.

#### **Patients and Methods**

This was a retrospective and analytical study covering a period of 5 years (January 2013-December 2017) carried out in the Otorhinolaryngology and Neck and Facial Surgery department of the Ignace Deen National Hospital (Conakry University Hospital Centre). It involved the clinical records of patients who had undergone tracheotomy during the study period. These records, which included clinical course, operative report and post-operative follow-up. We took into account epidemiological data (age, sex and profession), clinical data (nature of the obstacle and/or indications), therapeutic data (types of anaesthesia, skin incision, tracheotomy site, type of tracheal opening, tracheotomy cannula) and evolutionary data (time taken to wear the cannula, complications).

#### **Results**

Of the 885 surgical procedures performed during the study period, 48 were tracheotomies, i.e. a frequency of 5.42%. We thus performed 09 to 10 tracheotomies per year (Figure 1).



The average age of our patients was 35, with extremes of

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09 and 67. The age range from 10 to 19 was the most affected

(Table 1).

Age brackets (years)	Workforce	Percentage	
0 - 9	2	4.17	
Oct-19	15	31.25	
20 - 29	8	16.67	
30 - 39	6	12.5	
40 - 49	10	20.83	
50 - 59	4	8.33	
60 years and over	3	6.25	
Total	48	100	

Table 1: Distribution of patients by age group.

There were 30 men and 18 women, with a sex ratio of 1.67. All socio-professional categories were concerned, with a predominance of students (Table 2).

Socio-professional Category	Workforce	Percentage
Students	18	37.5
Officials	11	22.92
No profession	9	18.75
Housewife	6	12.5
Farmer	4	08.33
Total	48	100

**Table 2:** Distribution of patients by socio-professional category.

The different aetiologies of the air barrier are shown in Table 3.

Indication of tracheotomy	Etiology of the obstacle	Workforce	Percentage
Rescue tracheotomies (34)	Laryngeal cancer	11	22.92
	Papillomatosis laryngitis	10	20.83
	Cancer of the hypo pharynx	7	14.58
	Cancer of the palatine tonsil	5	10.42
	Undetermined (laryngeal oedema)	1	2.08
	Thyroidectomy for compressive goitres	5	10.42
Safety tracheotomy (11)	Accidental cervical trauma	4	8.33
	Attempt at autolysis	2	4.17
Intubation tracheotomy (3)	Tumour of the oropharynx	3	6.25
	Total	48	100

Table 3: Distribution of patients according to tracheotomy indication.

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Faced with late hospital referral, resulting in severe respiratory distress, the ENT had no other option than to open the trachea as a lifesaving option. This rescue tracheotomy represented 70.83% of the cases in our series and the conditions responsible for these airway obstructions had been, in young adults, laryngeal and hypolarygeal cancer, i.e. 35.42% of cases. In children, laryngeal papillomatosis was the most common, accounting for 20.83% of cases.

As for safety tracheotomy and intubation tracheotomy, goiters with tracheomalacia (10.42%) and accidental or homicidal neck trauma (12.50%) were the reported causes. The tracheotomy was performed under local anaesthesia in 43 patients, i.e. 89.58%. For the five others (10.42%) the operation was performed under general anaesthesia.

The skin incision was horizontal in all patients. Compared to the thyroid isthmus, the site of the tracheotomy was supra isthmic in 37 cases (77.08%), transisthmic in 5 cases (10.42%) and sub isthmic in six cases (12.50%). After opening the trachea in an "I" or inverted "H" position, all patients had been fitted with a low-pressure balloon cannula. The post-operative follow-up was simple in 42 cases (87.5%). However, we recorded three cases of cervical emphysema (6.25%), 3 cases of mucus plugging (6.25%), one of which was fatal (2.08%).

Decanulation times were variable. Twenty (20) patients, i.e. 41.67%, had been decannulated before 7 days. Decanulation difficulties were encountered in laryngeal papillomatosis (n=10) with delays varying between 8 days and 1 year. Cannulation was definitive for 18 patients with a cancerous pathology (Table 4).

Decanulation times	Workforce	Percentage
Before 7 days	20	41,67
8 to 30 days	6	12,50
31 days to 12 months	4	08,33
Decanulation impossible	18	37,50
Total	48	100

**Table 4:** Distribution according to decanulation times.

#### Discussion

In the management of acute respiratory failure, tracheotomy remains a life-saving gesture that is still common in our practice. On average 9 to 10 tracheotomies were performed in our department each year. The same datas were reported in other subsaharian African countries with an annual rate of eight in Burkina Faso [2], 8.4 in Congo Brazzaville [3] and 10.42 in Gabon. Indeed, the inadequacy of the technical facilities, in terms of qualified personnel

(anaesthetists, surgeons) and resuscitation equipment, often leads us to give priority to surgical tracheotomy in the face of a respiratory emergency, which could endanger the patient's vital prognosis in the short term.

In the western countries where the management of acute respiratory failure (ARF) is more the responsibility of the internsivist and respiratory therapy teams than of the ENT surgeon, surgical tracheotomy has given way to orotracheal intubation or percutaneous tracheotomy [4]. However, the results of the international survey published in 2013 by Estéban, et al. [5], had confirmed the progression of tracheotomy in intubated patients from 11% in 1998 to 14% in 2010.

Tracheotomy was performed more frequently in men between the ages of 10 to 19 and 40 to 49 as in most studies in subsaharian Africa [2,3]. Several authors [2,3] had reported male dominance. In our series, students were the most affected socio-professional strata. In contrast, to the study by Sérémé, et al. [2] who reported a predominance of farmers and housewives.

The main indications for tracheotomy in our series were malignant tumours of the upper aero digestive tract. This finding is similar to those described in the literature [2,3,6]. In France [1,7], ARI with prolonged ventilation and difficult weaning from invasive mechanical ventilation (IMV) are among the indications generally encountered for performing tracheotomy in adults. In children, the main indication in our series was laryngeal papillomatosis. It was also found in the series of Akolbout, et al. [8] in Congo.

The importance of respiratory distress justifies the use of local anaesthesia for tracheotomy. However, in the case of scheduled operations where the tracheotomy is part of the whole surgical procedure, we use general anaesthesia [1,2,9]. The horizontal skin incision had been used in all our patients for cosmetic reasons and can be more easily integrated into a possible cervical surgery for laryngeal or cervical tumours in agreement with several authors [2,3]. The vertical skin incision proposed by some authors Itiere Odzili FA, et al. [3], particularly for emergency tracheotomies, was not performed in our series.

The supra-isthmic tracheal approach was the most common in our series. We recommended it in pharyngolaryngeal cancer pathology, where the high, supra isthmic tracheotomy seems more indicated, because it limits the spread of the disease and facilitates the tracheostomy in case of total laryngectomy or total pharyngo-laryngealectomy.

The sub-isthmic approach is easier to perform (quicker access to the trachea). This ease is a not insignificant

argument in emergencies and under local anaesthesia. We recommend it in non-cancerous pathology, but reject it in laryngeal papillomatosis because it would favour tumour migration towards the trachea [10]. The trans-isthmic approach, provides greater safety in the at-risk postoperative period, at the expense of a longer procedure. It had been performed in the safety tracheotomy, in sleeping patients who had already undergone thyroidectomy.

Regarding the tracheal opening, the "I" incision was used in all the patients in our series. This reduces the risk of a complete section of the trachea or stenosis at a later stage. A major constraint of tracheotomy is the availability of the tracheotomy cannula. Under ideal conditions, only complete silver or acrylic cannulas are recommended [3]. This was not always the case in our practice setting. In some cases, we had to insert incomplete cannulas, which resulted in obstructive mucous plugging in three of our patients, one of whom was fatal. These complications remind us that tracheotomy is not an insignificant procedure. This surgical operation must be performed by an experienced surgical team with welltrained paramedical staff.

#### Conclusion

Still common in our practice, tracheotomy allows the surgeon to stabilize the patient in order to establish an adequate management. The mastery of the technique and the indications by an experienced surgical team minimises the risks of these complications.

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